

# Polarized Beams

E. Steffens  
Univ. of Erlangen - Nürnberg (GER)

1. Introduction
2. Polarized ion sources  
short, no  $\bar{e}$
3. Acceleration of pol. beams in  
circular machines
4. Buildup of polarization in storage rings

Lecture given at "Caucasian - German  
School and Workshop on Hadron Physics"  
Tbilisi (Georgia) 30.8 - 2.9.2004

# 1. Introduction

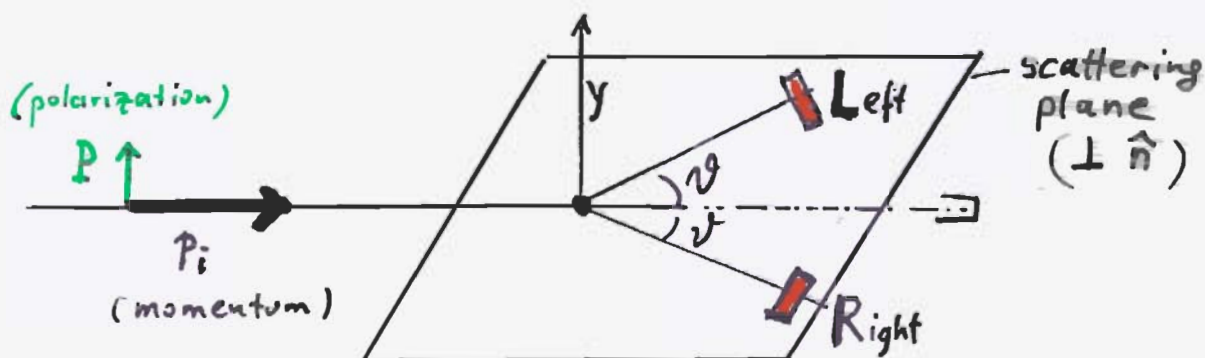
Polarization  $P$ : 
$$P = \frac{N_{up} - N_{down}}{N_{up} + N_{down}} \quad (-1 \leq P \leq 1)$$

( $N_i$  = number of particles in a beam, a target etc)

Scattering: 
$$\vec{p} + A \rightarrow p + A$$

or: 
$$A(\vec{p}, p) A \quad \text{elastic}$$

projectile polarized      detected, no pol. measurement



Scattering normal 
$$\hat{n} = (\vec{p}_i \times \vec{p}_f) / |\vec{p}_i \times \vec{p}_f|$$

For scattering to the Left:  $\hat{n}$  up  
 " " " " Right:  $\hat{n}$  down

LR asymmetry  $\Sigma_{LR}$ :

$$\Sigma = \frac{N_L - N_R}{N_L + N_R} = P_y A_y$$

For parity-conserving forces (like strong interaction):

$A_x = A_z = 0$

Elastic scattering, in cm system ( $v_{lab} \rightarrow v_{cm}$ ):

Measure: 1)  $A(\vec{p}, p)A$  "vector analyzing power"  $A_y$

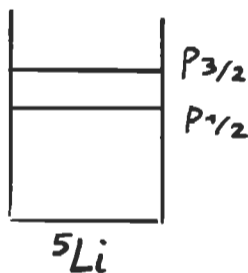
2)  $A(p, \vec{p})A$  "polarization"  $P_y'$  of outgoing proton

**TRI:**  $P_y' = A_y$   $\rightarrow$  method to

produce and calibrate polarized proton beam

by "Double Scattering": historically the 1<sup>st</sup> method.

M. Heusinkveld and G. Frazer (1952): Determination of the sign of  $V_{es}$  (in the shell model) in  $p + {}^4\text{He}$  scattering!



I. First method to produce a polarized beam by

- a scattering process (not used anymore)

or - a reaction:

Parity not conserved in weak decays!

$\rightarrow \pi^\pm \xrightarrow{\text{in flight}} \vec{\mu}^\pm (+\nu)$  (e.g.  $E \approx 100 \text{ GeV}$ )

Scattering exp. with HE pol. muons at CERN:  
COMPASS

$\rightarrow \Lambda^0, \bar{\Lambda}^0 \xrightarrow{\text{in flight}} \vec{p} \pi^-, \vec{p} \pi^+$

exp.  $E 704$  at Fermilab

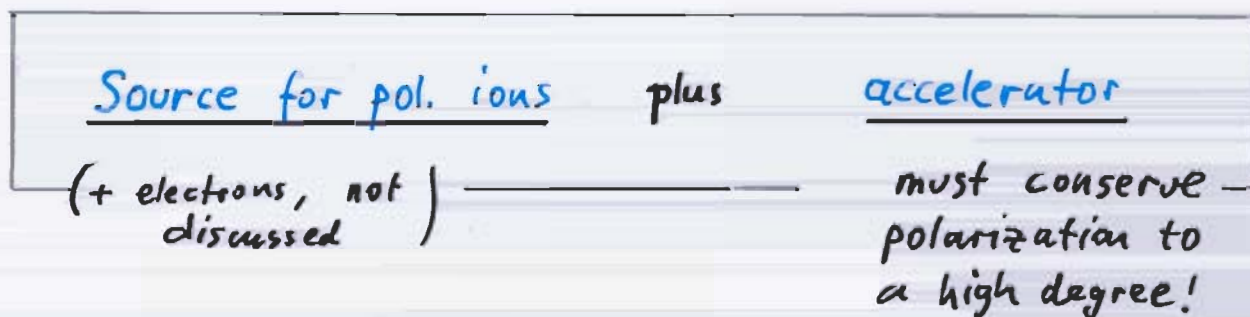
Ultra HE pol. nucleon beams  
(and antinucleon)

disadvantage of polarized (tertiary) beams:

- low intensity
- pol. not switchable

2<sup>nd</sup> method:

**II.** Standard method for producing a polarized beam:



3<sup>rd</sup> method:

**III.** Production of polarization in a stored beam of ions or electrons.

spin filtering

polarized  $\bar{p}$ s ; lecture by F. Rathmann

Sokolov-Ternov effect

HERA- $e^-$  ring (HERMES)

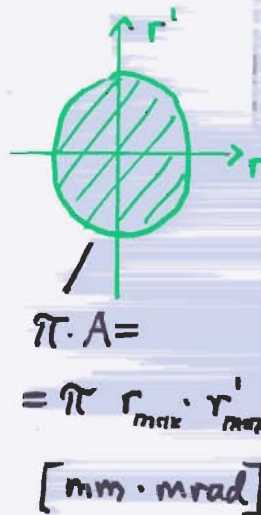
## 2. Sources of Polarized Protons

- Type of source related to accelerator
- Most efficient accel. for high energies: Synchrotron  
Modern synchrotrons also used as exp. storage ring:  
"storage ring accelerator"

e.g. COSY    RHIC\*    HERA\*    Tevatron\*    LEP/LHC\*

\*) colliders

- Task: to fill the available phase space to the "space charge limit" !

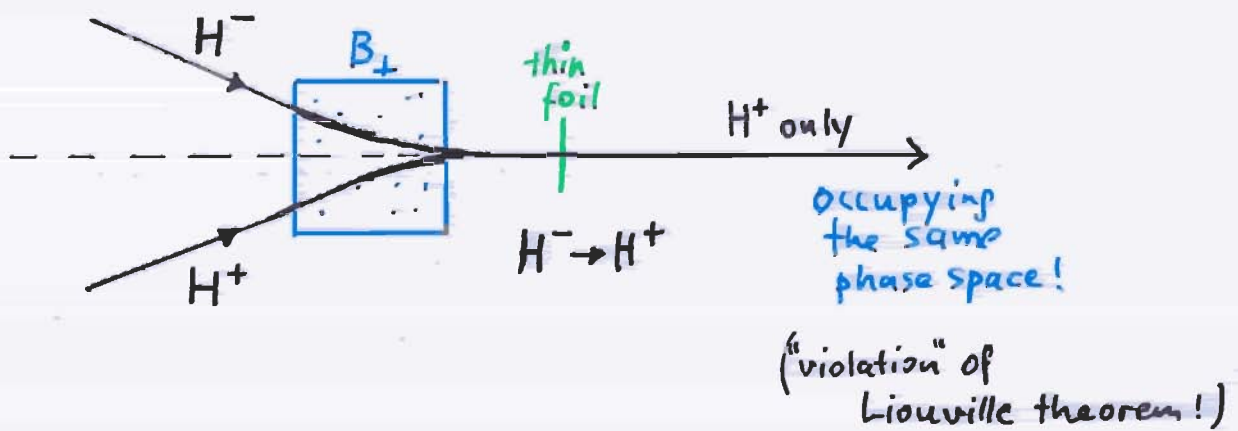


$$\pi \cdot A =$$

$$= \pi r_{\max} \cdot r'_{\max}$$

[mm · mrad]

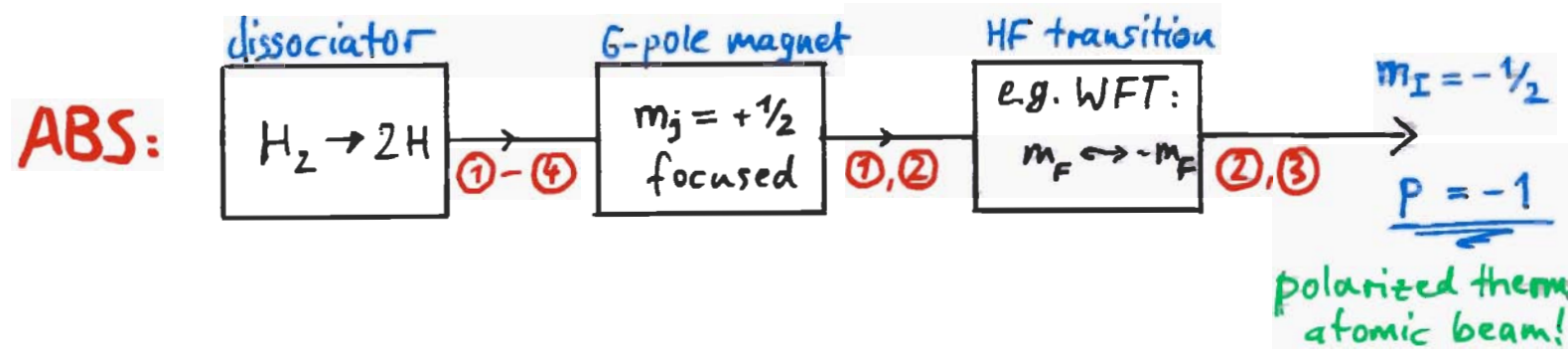
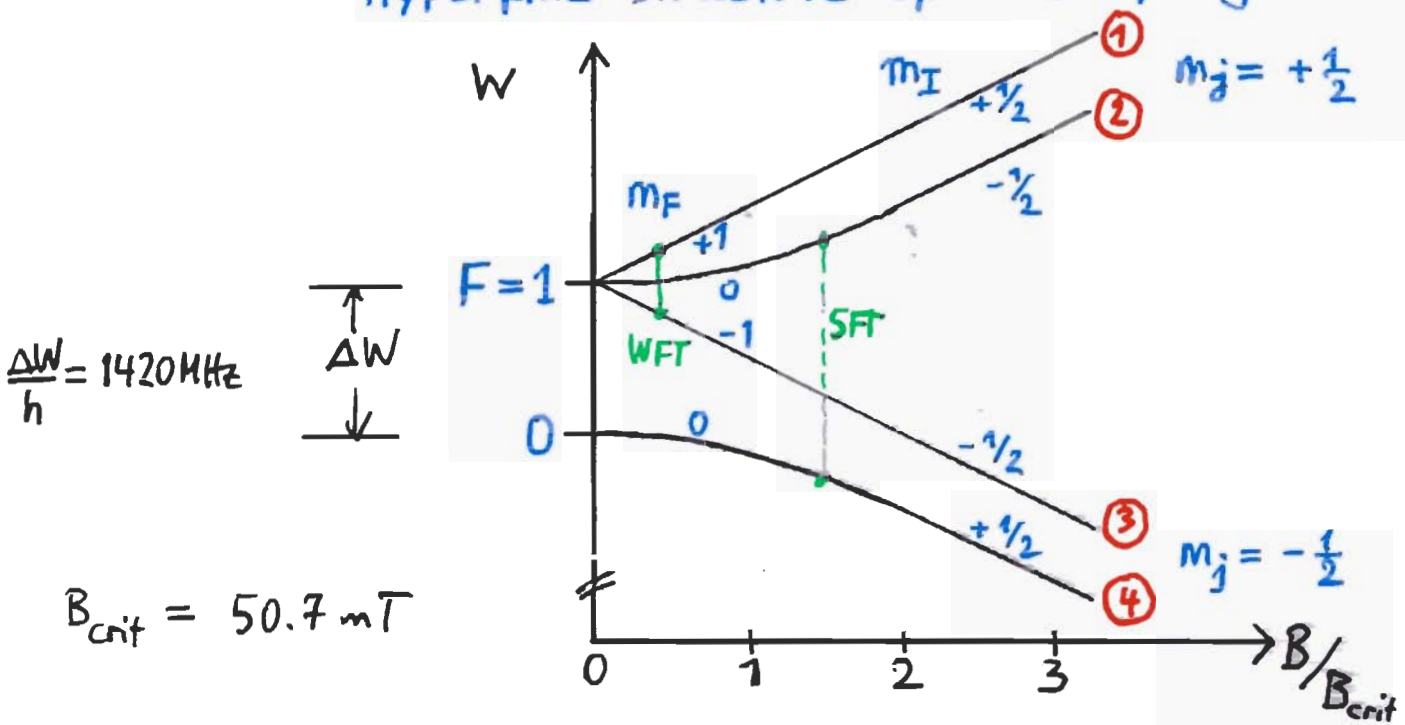
- Best method: Stripping injection



→ Requirement: intense  $\vec{H}^-$  sources

# First step: Atomic Beam Source (ABS)

Hyperfine structure of the Hydrogen atom:

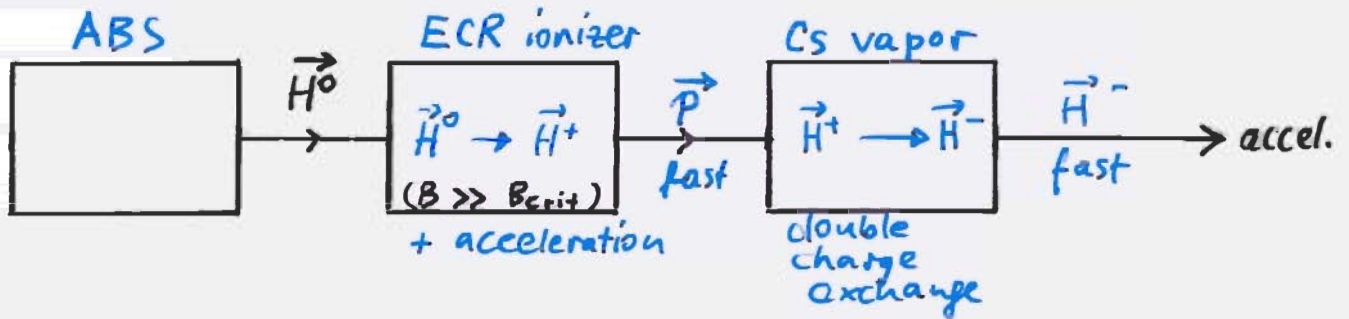


## Modern sources:

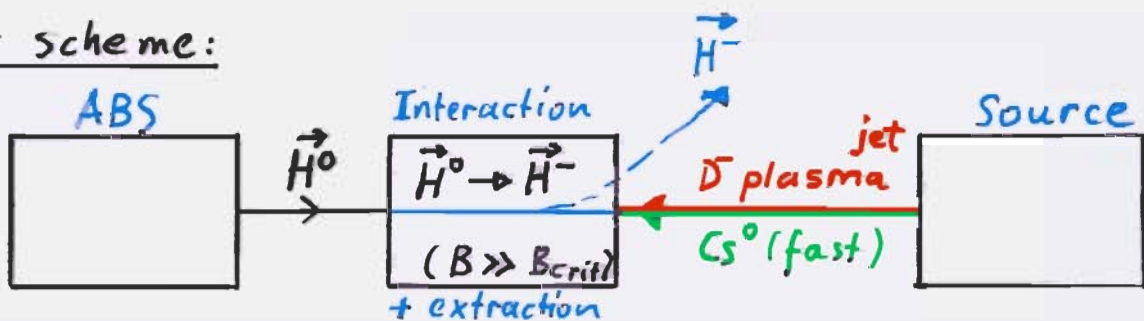
- dissociator with cooled nozzle (100K)
- high-speed pumping system (turbomolec. pumps)
- sextupole magnets with high tip field  
e.g. permanent magnets:  $B_0 > 1.5 \text{ T}$
- HF transitions with 100% efficiency

# Ionizer

From thermal pol. atoms ( $\vec{H}^0$ ) to ions ( $\vec{H}^-$ ):



Other scheme:



→ Colliding beam source

- Fast  $Cs^0$  beam : COSY / FZ Jülich
- Slow  $D^-$  plasma jet : IUCF / Indiana (pulsed)

Other schemes based on optical pumping with pulsed high-power lasers (OPPIS)

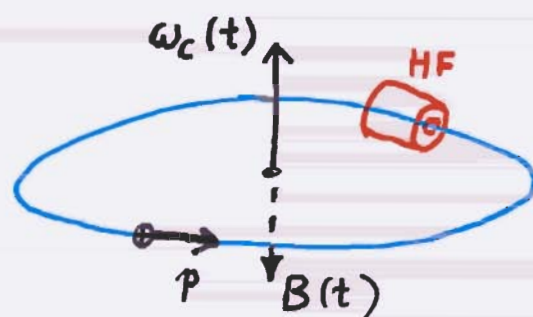
- TRIUMF / Vancouver
  - RHIC injector / BNL
- Peak currents in the mA range!

### 3. Acceleration of Polarized Protons in a Circular Machine: Synchrotron

- Protons on circular orbit with  $R = \text{const.}$  and homogeneous B-Field  $B(t)$

$$\vec{\omega}_{\text{cycl.}} = - \frac{q \vec{B}(t)}{m}$$

with  $q = +e$   
 $m = \gamma m_0$

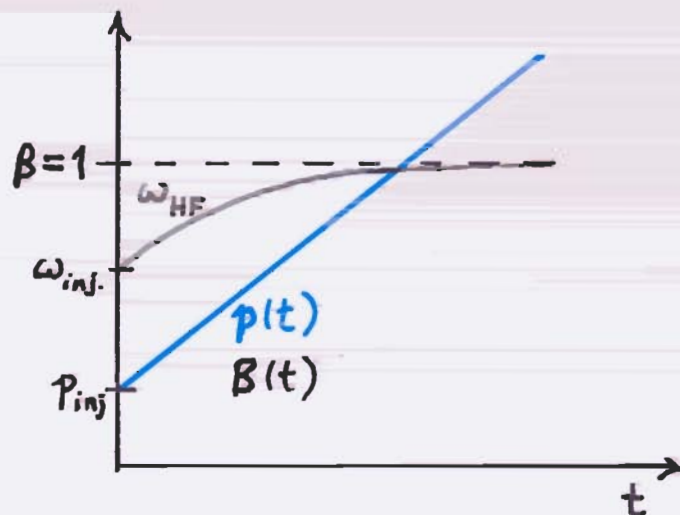


$$|\vec{p}| = q B R \quad (\text{momentum})$$

- Synchronous acceleration

$$\omega_{\text{HF}} = n \omega_c(t)$$

|  
harmonic number



- Focusing elements (quadr. m.) required for stable motion near design orbit (here: circle).  
 $\rightarrow$  betatron oscillations in the  $x$  (hor.) and  $y$  (vert.) direction



Number of betatron oscill. per turn:

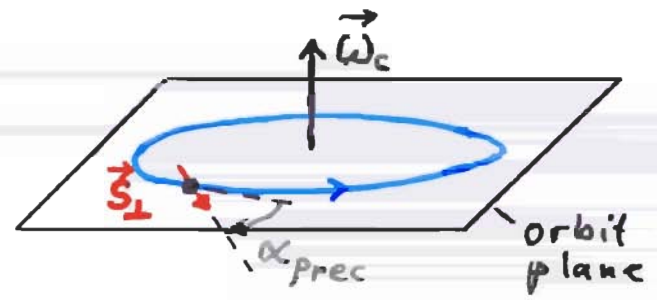
$$\left. \begin{array}{l} Q_x \text{ hor.} \\ Q_y \text{ vert.} \end{array} \right\} \text{"betatron tune"}$$

$Q_x, Q_y$  must not be integer! Otherwise excitation of orbit resonances  $\rightarrow$  beam lost!



# Spin Precession on Circular Orbits

$\vec{S}_\perp$  = spin component  
⊥ to  $\vec{\omega}_c$  (in the  
orbit plane!)



$\alpha_{prec.} = \angle$  (tangent,  $\vec{S}_\perp$ )  
momentum  $\vec{p}$

$\omega_p = |\vec{\omega}_p| = \dot{\alpha}_p = \gamma a \omega_c$

Lorentz factor

anomaly:  $\frac{g-2}{2} = a$  ( $\equiv G$ )

Note:  $a$  is  $\ll 1$  for true Dirac particle, like  $e, \mu, \dots$   
( $a_{exp.} \neq 0$  explained by QED)

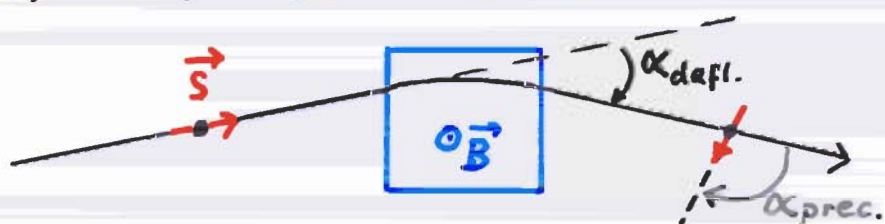
Reminder: Proton has spin comp.  $S_z = \pm \frac{1}{2} \hbar$   
and magnetic moment  $\mu_z = \pm \frac{1}{2} g_p \frac{e\hbar}{2m_p}$   
with  $g_p \approx 5.586$  non-Dirac particle,  
 $\rightarrow$  internal structure  $\mu_N$   
 $\rightarrow a_p \approx 1.793$

• Non-relativistic ( $\gamma = 1$ ):  $\omega_{prec.} = 1.793 \omega_{cycl.}$

[Note:  $\omega_p$  defined in a frame rotating with  $\omega_c$ ]

$\rightarrow$  The hor. spin component  $\vec{S}_\perp$  precesses  $1.793 \times$   
per turn! ( $\rightarrow$  "spin tune"  $\nu_s$ )

• Effect of dipole magnet:



$\alpha_p = 1.793 \cdot \alpha_d$

• relativistic beams:

$$\omega_p = \gamma \cdot 1.793 \cdot \omega_c$$

$\gamma(t)$ , increases during acceleration ("ramping")

Spin tune

$$\nu_s = \frac{\omega_p}{\omega_c} = \gamma \cdot a_p$$

\* COSY:  $\gamma_{\max}(T = 2.5 \text{ GeV}) = 3.67 \rightarrow \nu_s^{\max} = 6.58$

\* RHIC:  $\gamma_{\max}(E = 250 \text{ GeV}) = 267 \rightarrow \nu_s^{\max} = 479$

• Effect of dipole magnet:

$$\alpha_p = \gamma \cdot 1.793 \cdot \alpha_d = \nu_s \cdot \alpha_d$$

At RHIC ( $E_{\max} = 250 \text{ GeV}$ ):  $\alpha_p = \underline{479} \cdot \alpha_d$  !

• During ramping (= acceleration phase):

$\nu_s$  increases with time and crosses integer values!

$$\nu_s = n \in \mathbb{N} \rightarrow \text{resonant depolarization!}$$

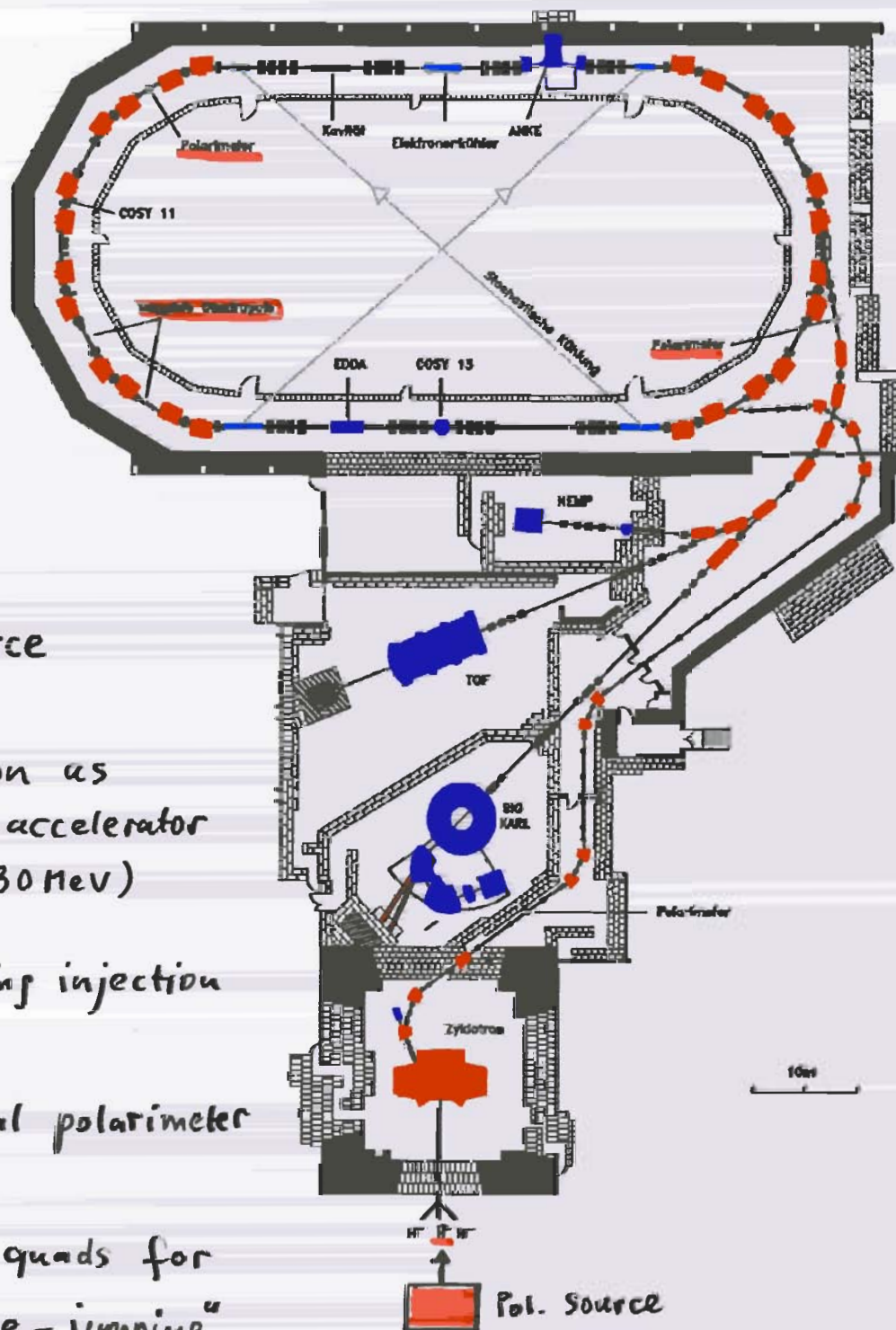


If  $\nu_s = n$ , then at a certain orbit position  $z_0$  the hor. component  $\vec{S}_\perp$  has always (i.e. after 1 turn, 2 turns, ..., many turns) the same direction  $\rightarrow$  kicks on  $\vec{S}$  by field errors add up coherently  $\rightarrow$  resonant depolarization!

$$\nu_s = n$$

"imperfection resonance"

# Cooler Synchrotron COSY (FZ Jülich)



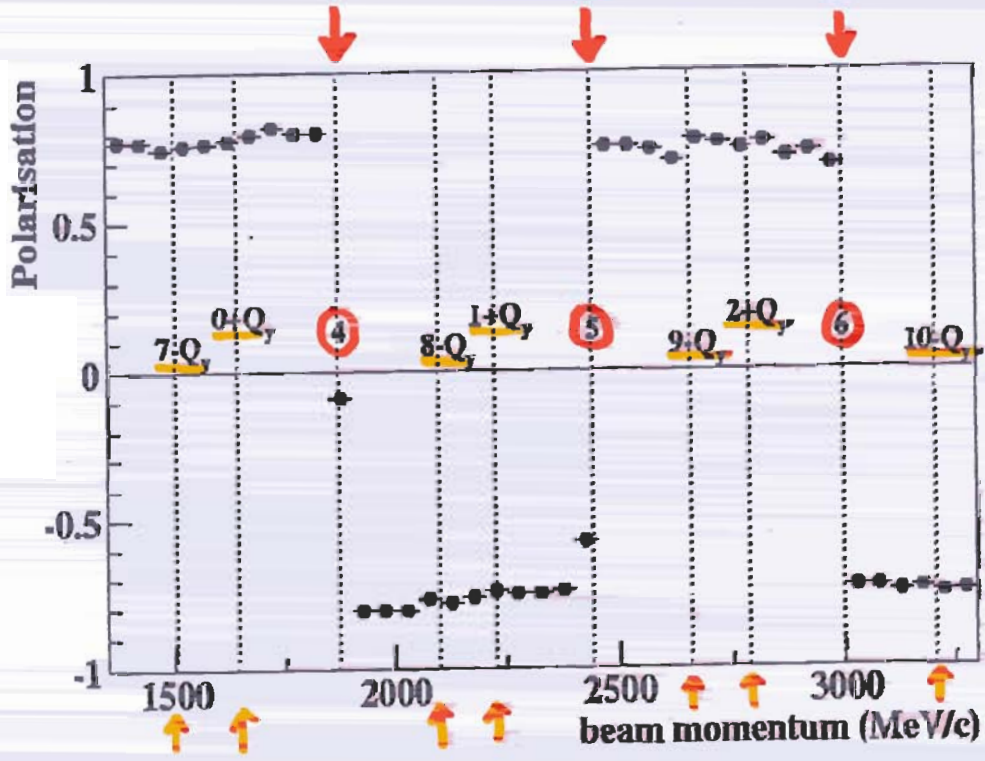
- $H^-$  source
- Cyclotron as pre-accelerator (30 MeV)
- Stripping injection
- Internal polarimeter
- Pulsed quads for "tune-jumping" ("intrinsic resonances")

# Acceleration of Polarized Protons by COSY

A. Lehrach, R. Maier, D. Prasuhn, et al.

## • Imperfection resonances ( $\gamma a = n$ ):

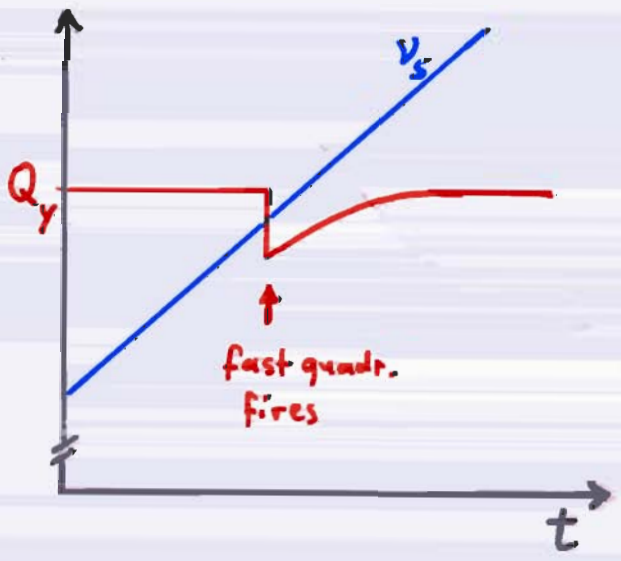
Proper spin-flip induced with enhanced resonance strength (correction dipoles).



## • Intrinsic resonances (e.g. $\gamma a = Q_y$ ):

Fast crossing by tune-jumping:

↳ negligible polarization loss!



# Example: Depolarizing Resonances in COSY

imperfection resonances  
 $\gamma a = n$

intrinsic resonances  
 $\gamma a = k_1 + k_2 Q_y$

$$Q_y^{\text{COSY}} \approx \frac{11}{3}$$

Momentum MeV/c	Kinetic energy MeV	Imperfection resonance $\gamma \cdot G = \dots$	Intrinsic resonance $\gamma \cdot G - \dots \pm Q_y$
463.9	108.4	2	
781.2	282.7		6-
1033.3	457.5		-1+
1258.8	631.7	3	
1470.4	806.0		7-
1674.1	980.8		0+
1871.3	1155.1	4	
2064.4	1329.4		8-
2255.0	1504.1		1+
2442.7	1678.4	5	
2628.5	1852.7		9-
2813.4	2027.5		2+
2996.6	2201.8	6	
3178.7	2376.0		10-
3360.6	2550.8		3+

6- $Q_y$   
 -1+ $Q_y$   
 7- $Q_y$   
 0+ $Q_y$   
 8- $Q_y$   
 1+ $Q_y$   
 9- $Q_y$   
 2+ $Q_y$   
 10- $Q_y$   
 3+ $Q_y$

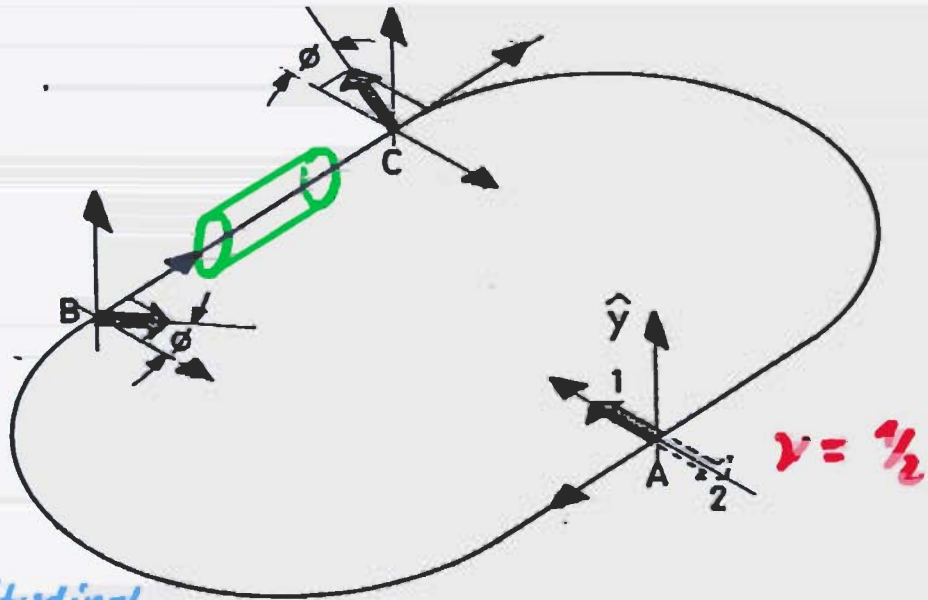
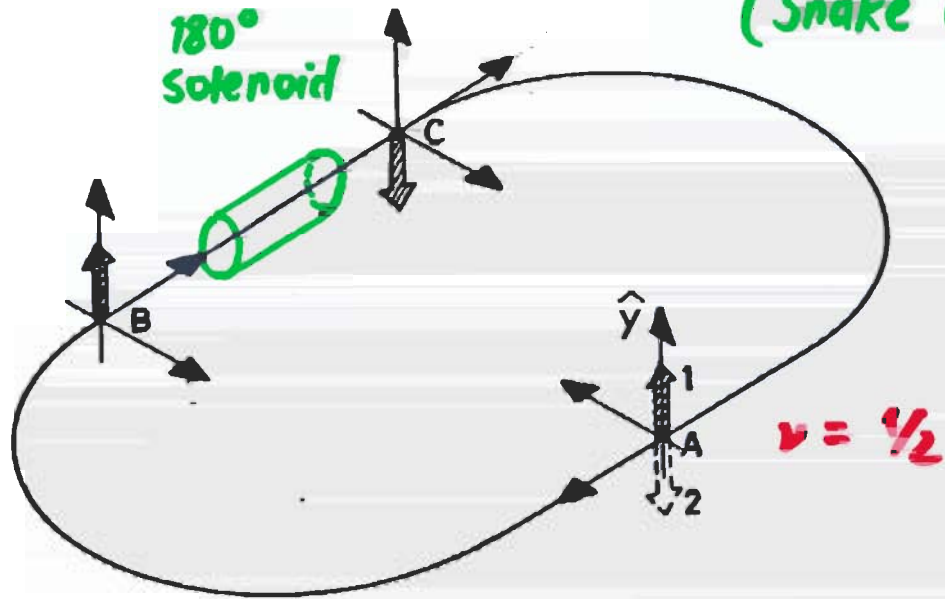
↑  
 same energies for all proton rings!

↑  
 depend on vertical tune, i.e. machine optics.

→ six imperfection and ten intrinsic resonances in COSY!

# Spin motion with 180° solenoid

(Snake of 1<sup>st</sup> Kind)

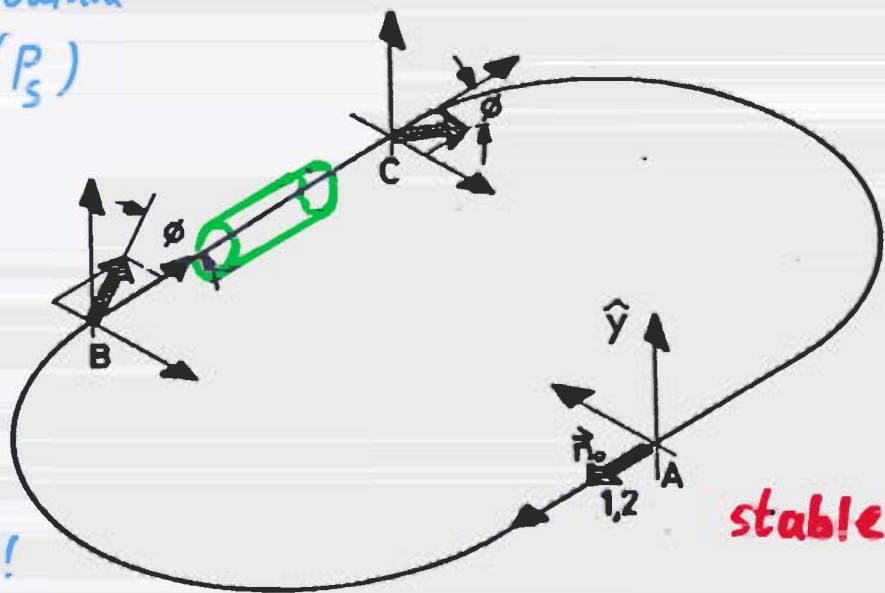


## Result:

1. Stable longitudinal polarization ( $P_s$ )

2. Spin comp. transverse to  $\hat{s}$  have spin tune  $1/2$

→ No resonant depolarization!



# Siberian Snakes

Derbenev  
+ Kondratenko  
(1972)

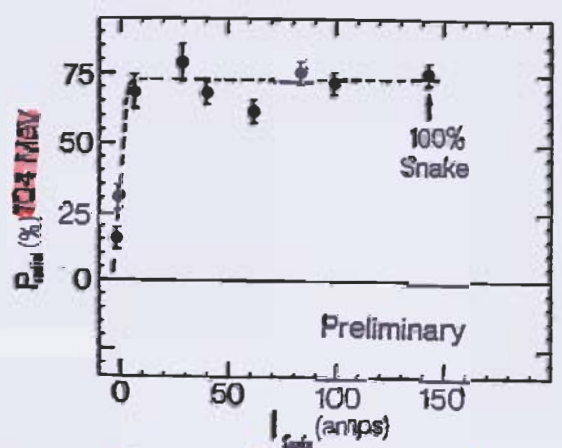
Pioneering work at VEPP-4 (Novosibirsk)



- low  $\gamma$  : solenoid
- high  $\gamma$  : set of dipoles  
(BNL: helical dipoles!)

- Partial snake:  $\alpha_{||} = \frac{180^\circ}{n}$  ( $n = 2, 3, \dots$ )  
applied at AGS / BNL (no space for full snake...)

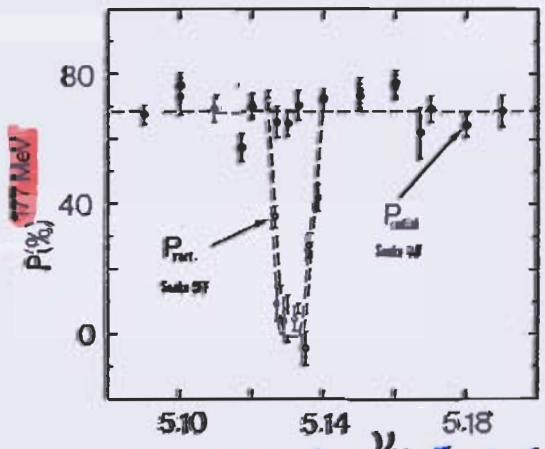
Siberian Snakes provide a global cure of imperfection  $\nu_x$ , and (in first order) also for intrinsic resonances!



- Comp. of  $\gamma\alpha = 2$  imperfect resonance

- Systematic studies performed at IUCF Cooler ring by A. Krusch, T. Roser, R. Pollock et al

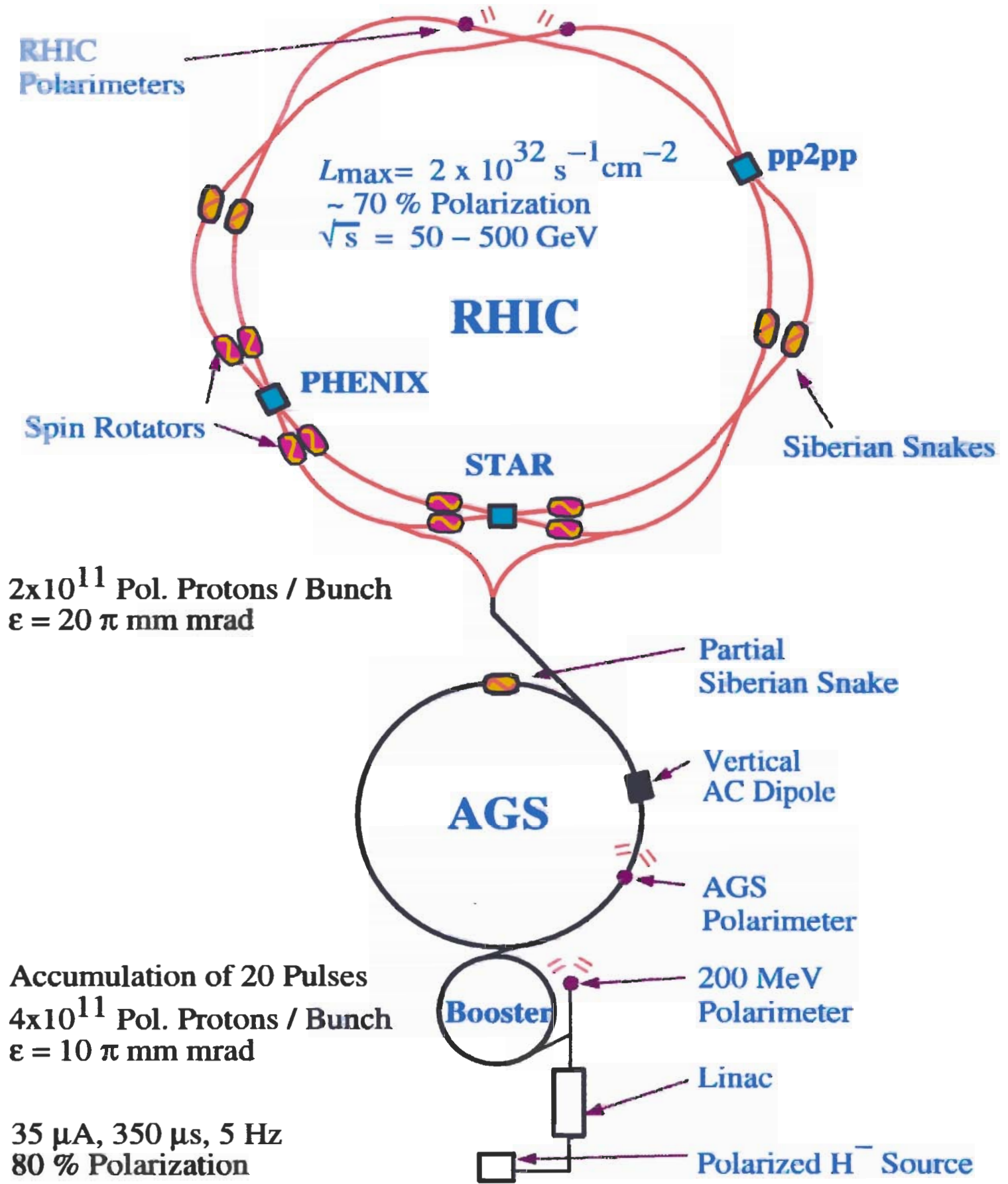
→ many new discoveries and theor. explanations; new "spin tools" developed.



- comp. of  $\nu_y$  intrinsic resonance  $\gamma\alpha = Q_y - 3$

- Applied at RHIC!

# Polarized Proton Collisions at BNL





## 4. Self-Polarization in Storage Rings

Two cases relevant for experiments:

### A. Polarized stored antiprotons ( $\bar{p}, \uparrow$ )

$\bar{p}$ s are expensive  $\rightarrow$  most efficient use very important: storage ring!

### B. Polarized stored electrons / positrons

stored  $\bar{e}$  of high current allow to use pol. gas targets ( $\bar{H}, \bar{D}$ )  $\rightarrow$  - pure

- high av. polarization

(A) • Several methods for  $\bar{p}^\uparrow$  production discussed

e.g. Workshop Bodega Bay 1985

AIP Conf. Proc. 145

• Two methods were studied theor. and/or experimentally:

\* "Spin Splitter": Stern-Gerlach force on  $\vec{\mu}_p$  by gradient fields  $\rightarrow$  coherent SG kicks!

$\rightarrow$  no experimental demonstration yet

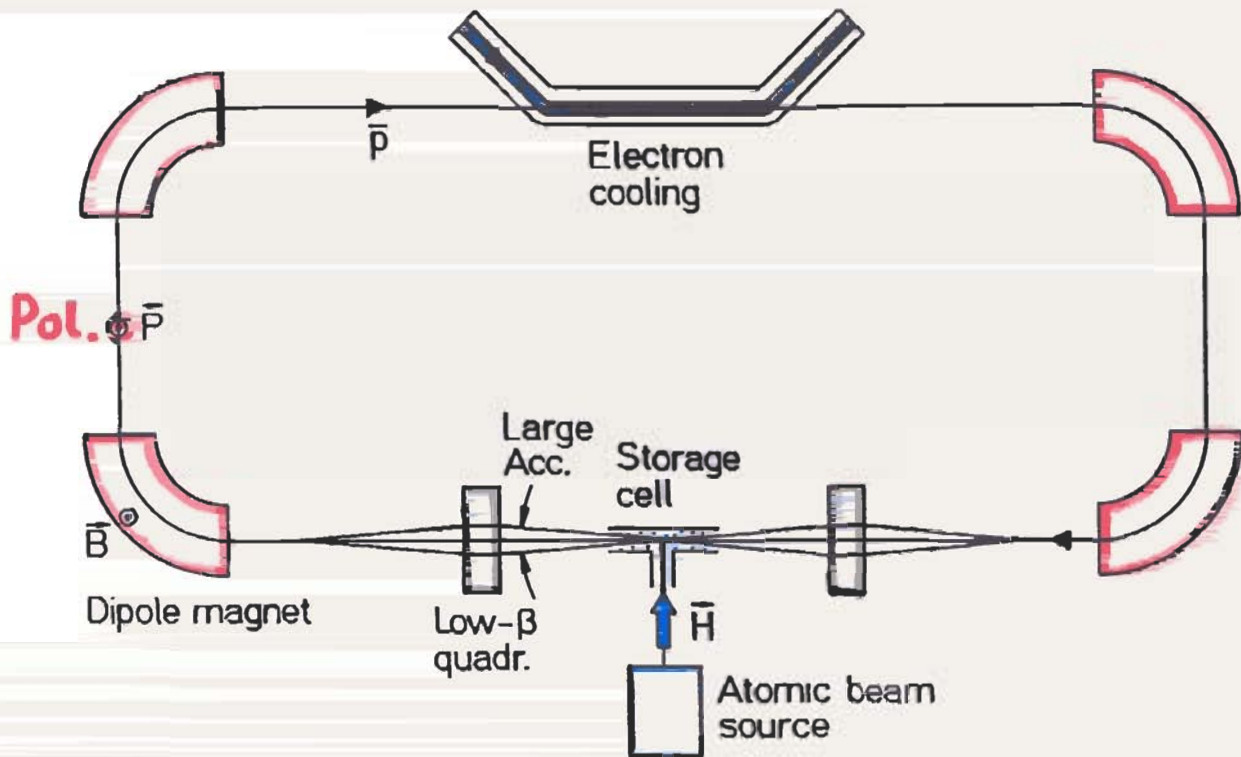
\* "Spin Filter": Spin-dependent attenuation of  $\bar{p}$  beam  $\rightarrow$  build-up of polarization!

$\rightarrow$  experimental demonstration with p at TSR / Heidelberg (1992)

# How to polarize stored antiprotons?

Workshop Bodega Bay, 4/85 (Chamberlain, Jackson, Jeffries, Krisch, v.d. Meer, Yokosawa, ...) → Filter method is the most promising method to polarize stored antiprotons.

(sonka, NIM 63 (1968) 247; Kilian + Möhl, 2<sup>nd</sup> LEAR workshop, Erice 1982



## Requirements:

- **Internal  $\bar{H}$  target** - high density
- **Large acceptance** -  $\theta_{acc.} \gtrsim 15$  mrad
- **Continuous cooling** - Ecool
- **Long polarization life time** - hours

# Spin filter method

For  $P_{\text{beam}}$  and  $P_{\text{target}}$  vertical:

$$\rightarrow \sigma_{\text{tot}} = \sigma_0 + \underbrace{\sigma_1 \cdot P_B \cdot P_T}_{\text{Spin-dependent}}$$

Assume  $P_T = 1$ . The unpol.  $\bar{p}$  beam has 50%  $\bar{p}$ s with  $m = +1/2$ , 50% with  $m = -1/2$ .

$$P_B = +1$$

$$P_B = -1$$

$$m(\bar{p}) = +1/2 : \sigma_{\text{tot}}^+ = \sigma_0 + \sigma_1$$

$$" \quad -1/2 : \sigma_{\text{tot}}^- = \sigma_0 - \sigma_1$$

$$\rightarrow \text{Intensity } I(t) \approx e^{-t/\tau_0}$$
$$\text{Polarization } P(t) = \tanh t/\tau_1$$

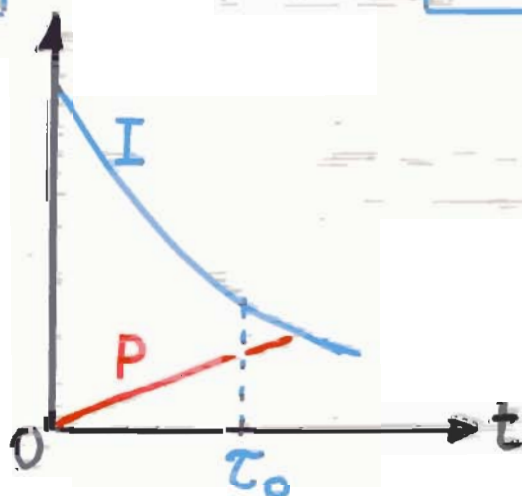
Beam life time

$$\tau_0 = \frac{1}{\sigma_0 \cdot n \cdot f_{\text{rev}}}$$

Polarization build-up time

$$\tau_1 = \frac{1}{\sigma_1 \cdot n \cdot f_{\text{rev}}}$$

target density  
revolution frequency

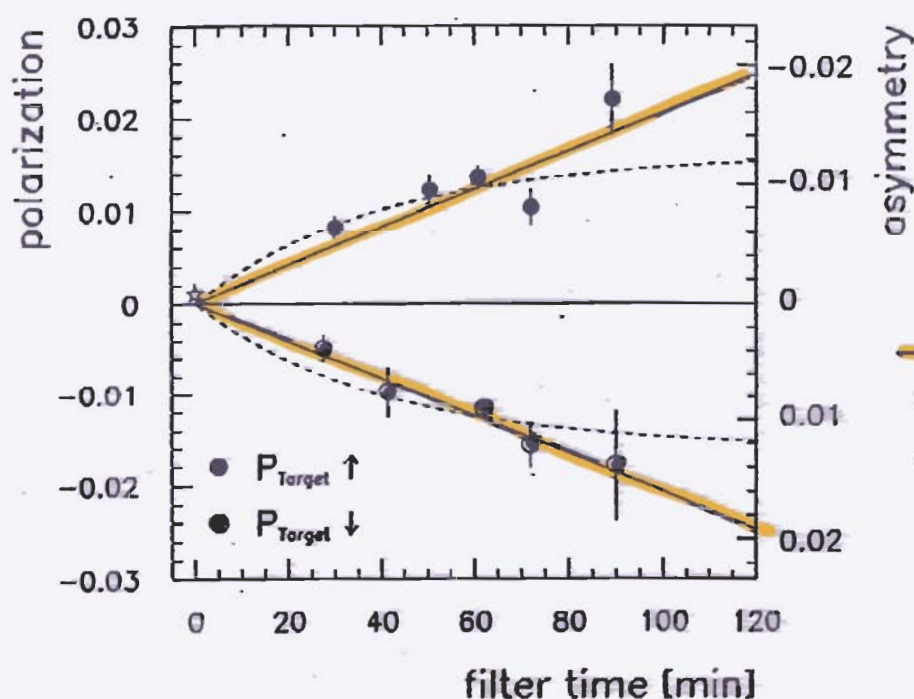


# Pol. Build-Up as Function of time

F. Rathmann et al, PRL 71 (1993) 1379

Effective beam life time  $\tau_{eff} = 30$  min

→ losses due to single-scattering Coulomb losses



— fit with no depol.

Observed:

$$\dot{P}_{exp} = 1.3 \% / \text{hour}$$

Expected:

from known pp-interaction

$$\dot{P}_{theor.} = 2.5 \% / \text{hour}$$

Found later (Horowitz + Meyer, PRL 72 (1994) 3981):

Destructive effect from  $\vec{e}p$  interaction;  $\vec{H}$  target had high electron pol., too.

→ Effect can be employed to polarize  $\bar{p}_s$ !

( see lecture F. Rathmann )

## (B) Polarized Stored Electrons/Positrons

- Sokolov + Ternov (1964): Prediction of build-up of polarization in HE electron storage rings!

- First observations: ACO/Orsay 1968 + 71  
VEPP-2/Novosibirsk 1971

- Qualitative arguments (J.D. Jackson, Rev. Mod. Phys. 48 (76)):

- Deflecting field  $B_L$  transformed into electron rest frame:  $B'_L = \gamma \cdot B_L$

HERA:  $B_{\text{dipole}} = 0.15 \text{ T}$  }  $\rightarrow B'_L = 8000 \text{ T}$   
 $\gamma = 53800$

- State of lowest energy  $B'_L \uparrow \uparrow \vec{\mu}_e$  ( $\vec{\mu} \parallel \vec{B}$ )

populated by M1-transitions:

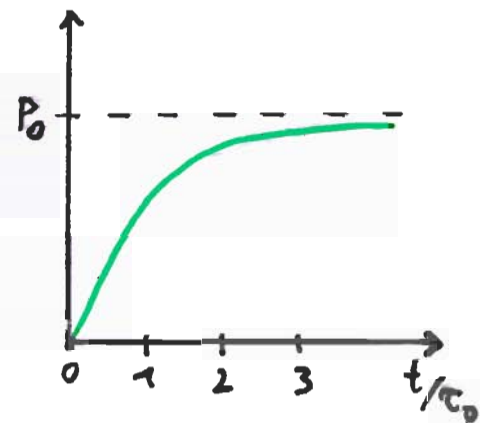
$\rightarrow$  slow build-up of polarization!

- Quantitative (depol. neglected):

radiative polarisation  $P(t) = P_0 (1 - e^{-t/\tau})$

with  $P_0 = \frac{2}{5\sqrt{3}} = 0.924$

$$\tau_0 = 98.7 \text{ s} \frac{(\rho/m)^3}{(E/\text{GeV})^5} \cdot \frac{R}{\rho}$$



Here:  $2\pi R = C$  (circumference incl. straights)

$\rho =$  bending radius in the dipoles

HERA:  $C = 6336 \text{ m}$  }  $R = 1008 \text{ m}$   
 $\rho = 608 \text{ m}$  }  $\tau_0 = 39 \text{ min}$   
 $E = 27.5 \text{ GeV}$

- Depolarization included  $\rightarrow \tau_{\text{exp}}$  and  $P_{\text{max}}$  reduced.

# HERA e-p Collider

$E_e = 27.55 \text{ GeV}$

$\nu_s = 62.5$

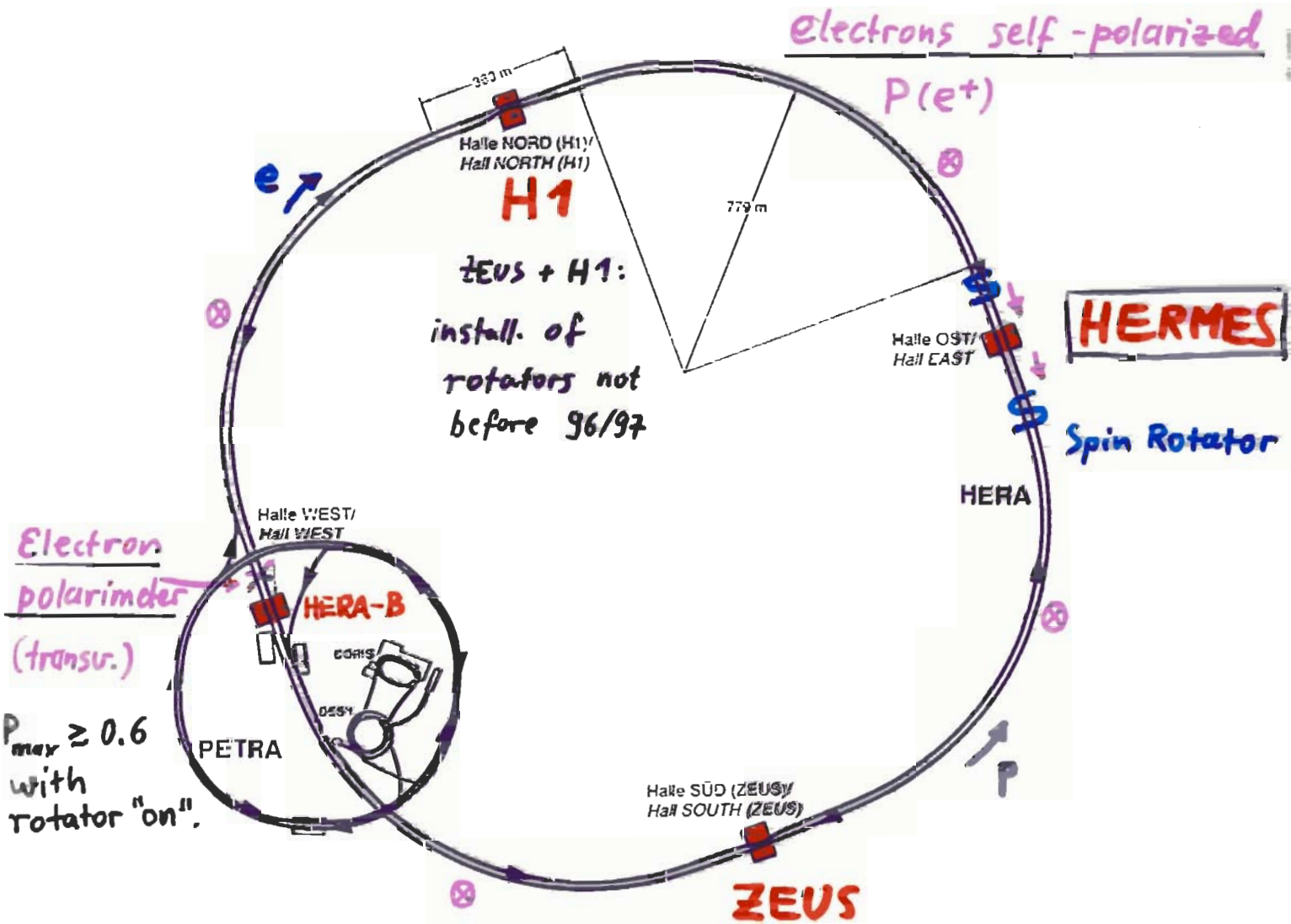
$E_p = 820 \text{ GeV}$

Substantial increase in  $E$  requires big investment into SC cavities!

$I_e^{\text{design}} = 58 \text{ mA}$  in  $\sim 210$  bunches,  $f_B = 10.47 \text{ MHz} \approx 96 \text{ ns}$

present:  $I^{\text{max}} = 40 \text{ mA}$ ;  $\tau(e^+) \sim 6-8 \text{ h}$ .

$\sigma_{\text{hor}} \sim 0.3 \text{ mm}$  and  $\sigma_{\text{vert}} \approx 0.1 \text{ mm}$  at IP



**HERMES runs in parallel to ZEUS + H1: no strong interference!** (Background, beam life time:  $\Delta T_{\text{max}}^{\text{gas}} \approx 45 \text{ h}$  at present)

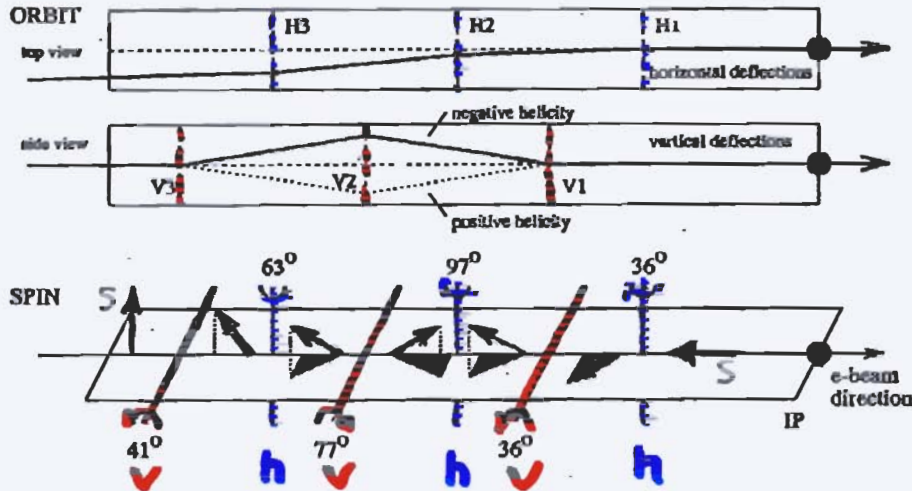
||  $\rightarrow$  high gain in int. luminosity, compared with dedicated running! ||

# Spin Rotator

K. Steffen  
J. Buon

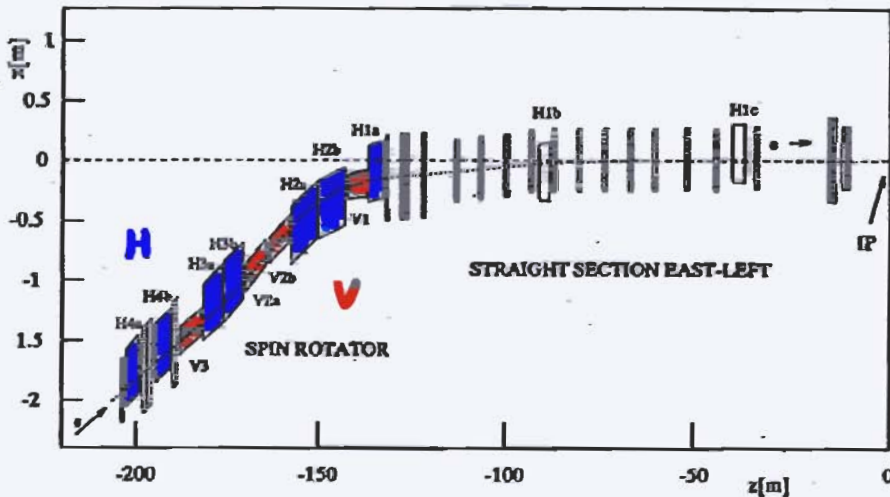
orbit deflections  
• horizontal

• vertical



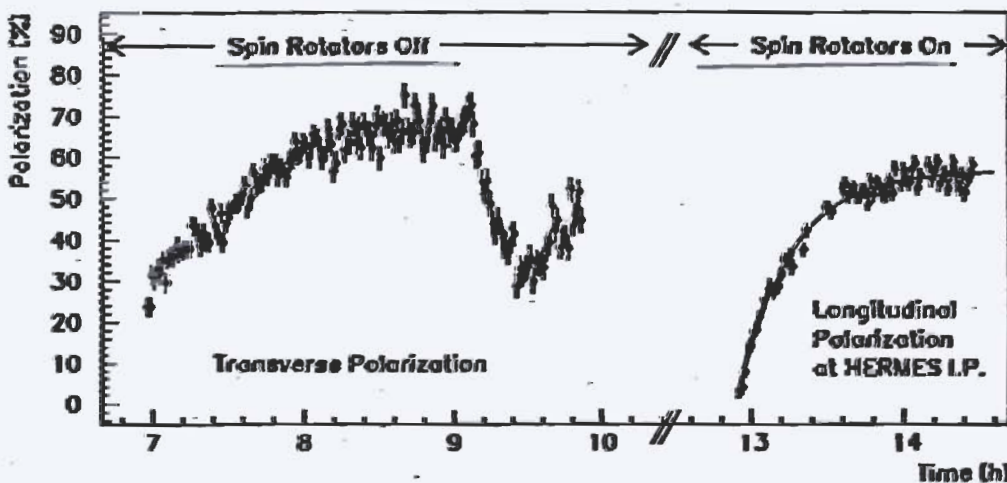
spin precession

## Mini-Rotators (L ≈ 80 m only)



Orbit excursions  
of up to  $\pm 20$  cm  
for the two spin  
directions  $\pm 1/2$ :  
magnets are  
moved transv.!

## First achievement of long. electron pol. in a HE electron storage ring



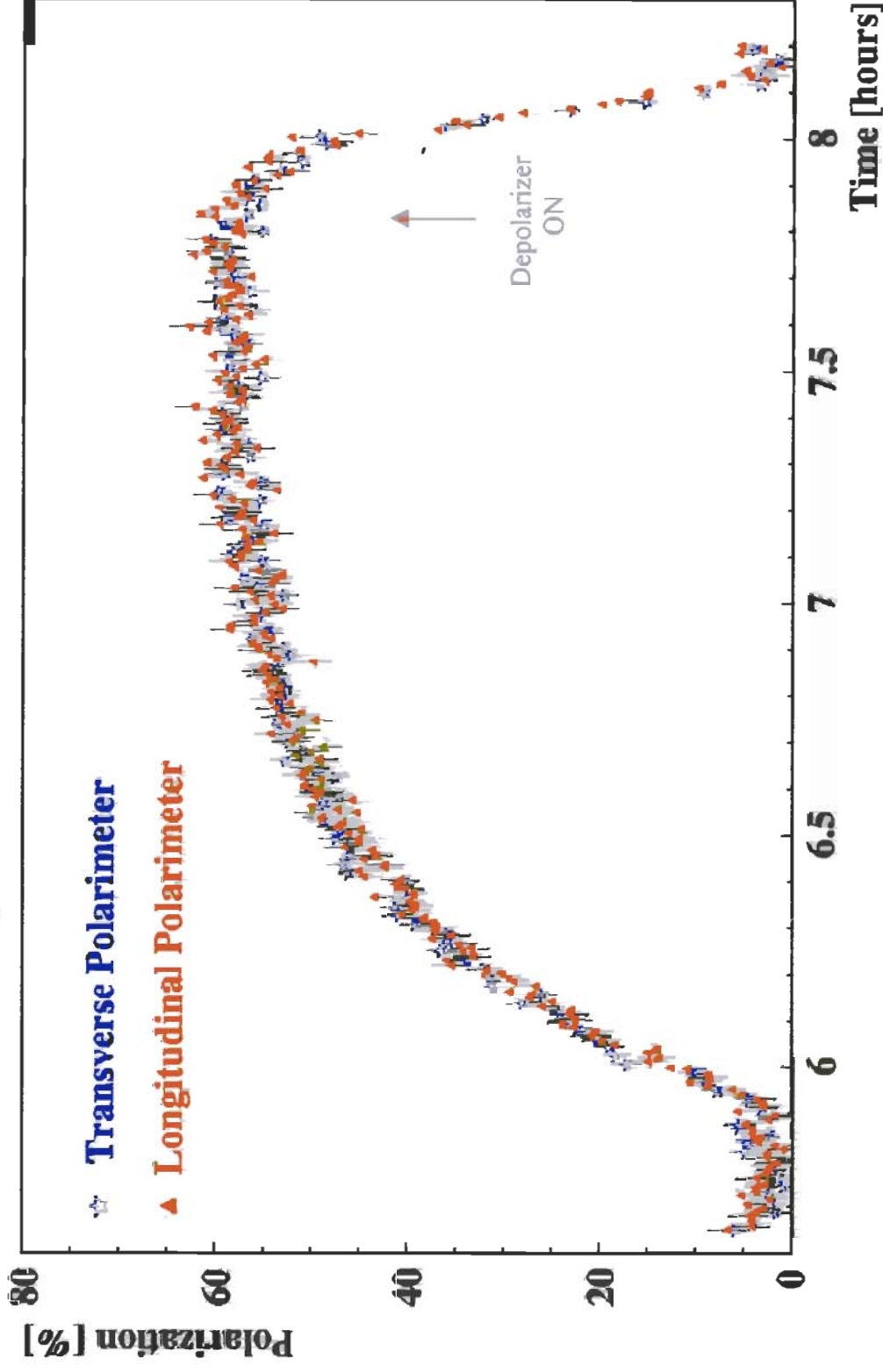
D.P. Barber  
et al,  
NIM (1994)

4.5.1994

Transverse polarization detected via backscattering  
of polarized laser photons (Now: TPol, LPol)

# Polarization of stored 27.5 GeV electrons in HERA measured by the TPol and LPol

## Comparison of rise time curves





# SUMMARY

- Polarized beams play important rôle in nuclear and particle physics.
- Two alternatives:
  - pol. source and acceleration of polarized ions:
    - \* most flexible
    - \* crossing of resonances involved! (in circular machines)
  - buildup of polarization in stored beams:
    - \* less flexible (no rapid switching)
    - \* avoids resonance crossing
- Several examples → powerful tools exist for next generation of hadron physics experiments!